SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add Crane Alan		2. Date of Event Requiring Statement (Month/Day/Year) 07/24/2014 3. Issuer Name and Ticker or Trading Symbol OCULAR THERAPEUTIX, INC [OCUL]								
(Last) C/O POLARI STREET	C/O POLARIS PARTNERS 1000 WINTER					tionship of Reporting Perso all applicable) Director	on(s) to Issue 10% Owne	(Mo	5. If Amendment, Date of Original Filed (Month/Day/Year)	
SUITE 3350						Officer (give title below)	Other (spe below)	App	licable Line)	/Group Filing (Check y One Reporting Person
(Street) WALTHAM	МА	02451							Form filed by Reporting P	y More than One erson
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)						nt of Securities ally Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Security			4. Conversior or Exercise Price of		6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Derivative Security	or Indirect (I) (Instr. 5)	
Stock Option (right to buy)			(1)	04/13/2024		Common Stock	11,363	8.8	D	

Explanation of Responses:

1. This option was granted on April 14,2014 and vests over three years, vesting 2.778% monthly beginning on the one month anniversary of the Issuers initial public offering.

Remarks:

<u>/s/ Alan Crane</u>

07/24/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.