

# Safety and Efficacy of OTX-DED, an Intracanalicular Dexamethasone Insert, for the Treatment of Episodic Dry Eye Disease: A Phase 2 Study

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# Disclosures

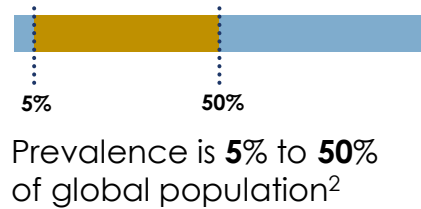
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- **Presenter:** Lisa Nijm was an investigator in the current study
- **Co-authors:** William C. Christie and David L. Wirta were investigators in the current study. Betsy Gillick, Rabia Gurses-Ozden, and Michael H. Goldstein are employees of Ocular Therapeutix.
- **Funding:** This clinical trial was funded by Ocular Therapeutix.

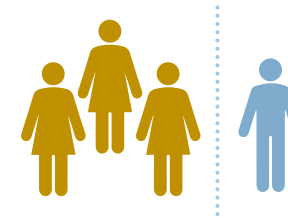
*This presentation discusses an investigational product, OTX-DED. Its efficacy and safety profile have not been established and it has not been approved by the FDA.*

# Unmet Needs in Dry Eye Disease Therapy

- Dry eye disease (DED) is a multifactorial disorder of the tears and ocular surface and represents the most common reason for seeking medical eye care<sup>1,2</sup>



**8.6 million** episodic DED patients are treated with prescription or over-the-counter therapies in the US<sup>3</sup>



Prevalence increases with **age** and is 2-3 times higher in the **female** population compared to the male population<sup>4</sup>

- Inflammation plays a key role in DED and corticosteroids are well-established as a fast-acting and effective treatment,<sup>1,5</sup> however:
  - Overuse and/or long-term use of topical ophthalmic steroids can lead to IOP elevations and cataract formation<sup>6</sup>
  - Topical ophthalmic drops may contain preservatives that can lead to corneal toxicity and further aggravate DED<sup>7-9</sup>

**A preservative-free corticosteroid for the short-term treatment of DED signs and symptoms that eliminates the potential for drop overuse/misuse by patients is needed**

# OTX-DED (dexamethasone intracanalicular insert)

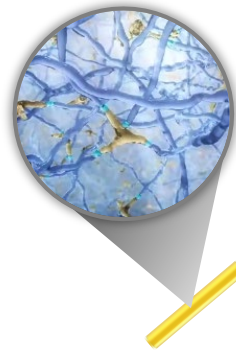
OTX-DED is a novel, hydrogel-based, preservative-free, resorbable intracanalicular insert being evaluated for the short-term treatment of signs and symptoms of DED

## Combines two common strategies to treat DED:

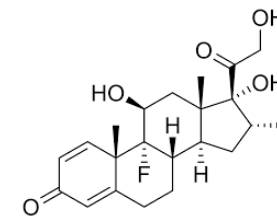
- Primarily anti-inflammatory therapy with a sustained and tapered delivery of steroid
- Potentially aids tear conservation through punctal occlusion

## Product Attributes

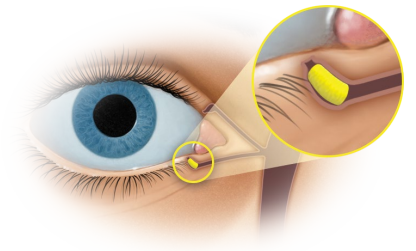
- Designed to provide therapy for 2-3 weeks
- Alternative to conventional steroid eye drops
- Preservative-free
- Fully biodegradable
- Conjugated with fluorescein for visualization



**Polyethylene Glycol  
(PEG) Hydrogel**  
(Inactive Delivery Platform)



**Dexamethasone  
0.2 or 0.3 mg**  
(Active Ingredient)



**OTX-DED**  
(dexamethasone  
intracanalicular insert)

# Phase 2 Study Objective and Design

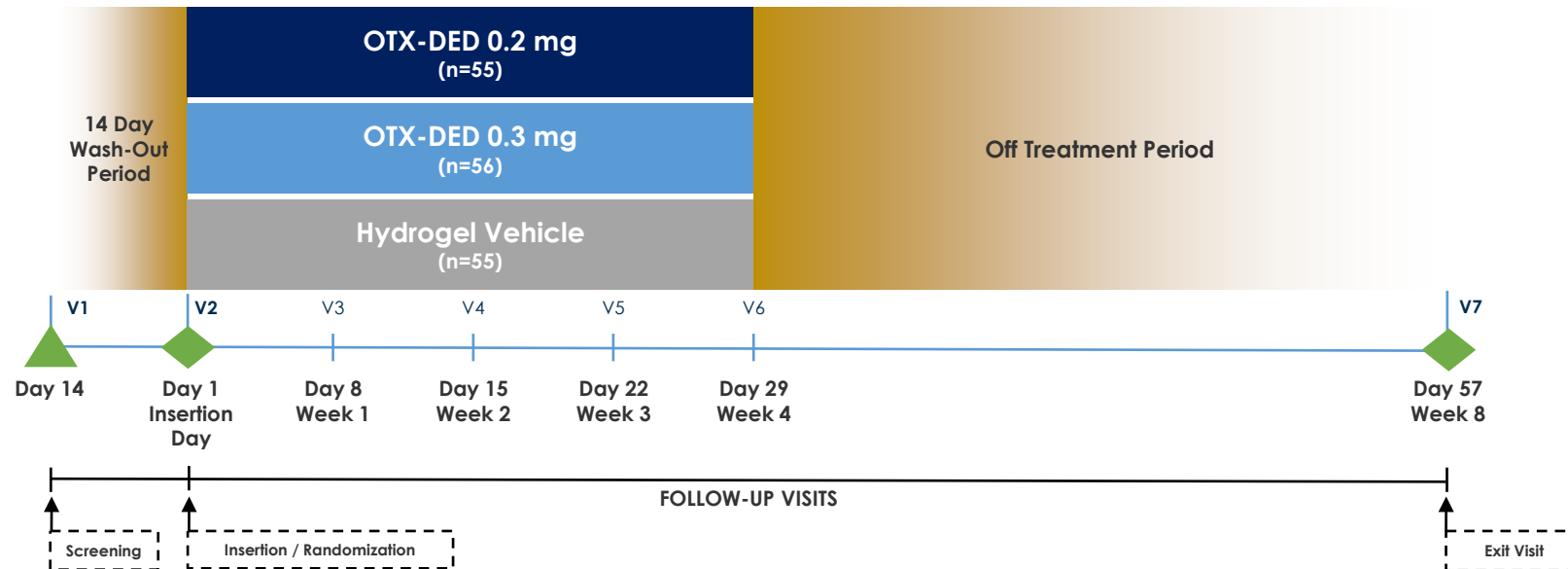
## Objective: Safety and efficacy of OTX-DED for the short-term treatment of signs and symptoms of dry eye disease

### Design

- Prospective, randomized, double-masked, vehicle-controlled study
- Key inclusion criteria:
  - DED diagnosis in both eyes for  $\geq 6$  months
  - Eye dryness severity score (VAS)  $\geq 30$
  - Bulbar conjunctival hyperemia grade  $\geq 2$  (CCLRU scale)

### Endpoints

- Primary endpoint: Bulbar conjunctival hyperemia – worst zone (Day 15)
- Secondary endpoints
  - Bulbar conjunctival hyperemia – individual zones, total
  - Eye Dryness Score (visual analog scale [VAS])
- Safety: Adverse events (ocular and non-ocular)



# Outcome Measures

## Efficacy Endpoints

### Signs

- Primary endpoint:** Bulbar conjunctival hyperemia\* change from baseline (CFB) at 15 days – worst zone
- Secondary endpoint:** Bulbar conjunctival hyperemia\* using CCLRU grading scale, CFB, individual zones, and total

### Symptoms


- Secondary endpoint:** Eye dryness score (visual analog scale [VAS]), CFB, and absolute values at each post-baseline study visit

## Safety Endpoints

- Adverse events: BCVA, slit-lamp examinations, IOP, dilated fundus exam, artificial tear use during the study

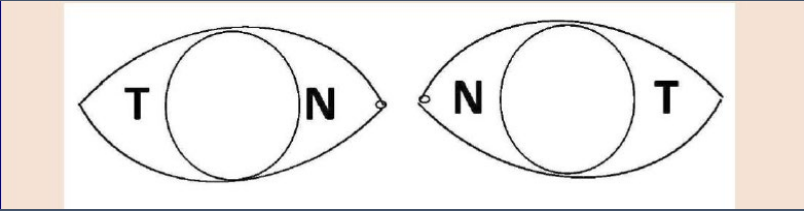
CCLRU Conjunctival Hyperemia Grading Scale

**CCLRU GRADING SCALES**  
Cornea and Contact Lens Research Unit, School of Optometry, University of New South Wales



Grade	Scale
0	None
1	Very Slight
2	Slight
3	Moderate
4	Severe

Regions for Conjunctival Hyperemia Grading



<b>Worst Zone</b>	Scale (0-4)
<b>Nasal (N)</b>	Scale (0-4)
<b>Temporal (T)</b>	Scale (0-4)
<b>Frontal</b>	Scale (0-4)
<b>Total</b>	Scale (0-12)

Visual Analogue Scale (VAS) for Eye Dryness

	No discomfort	Maximal (the most) discomfort
Eye Dryness Severity	0%	100%
Eye Dryness Frequency	0%	100%

\*Bulbar conjunctival hyperemia was assessed photographically at a central reading center using the CCLRU (Cornea and Contact Lens Research Unit) Grading Scale

# Demographic and Baseline Measurements

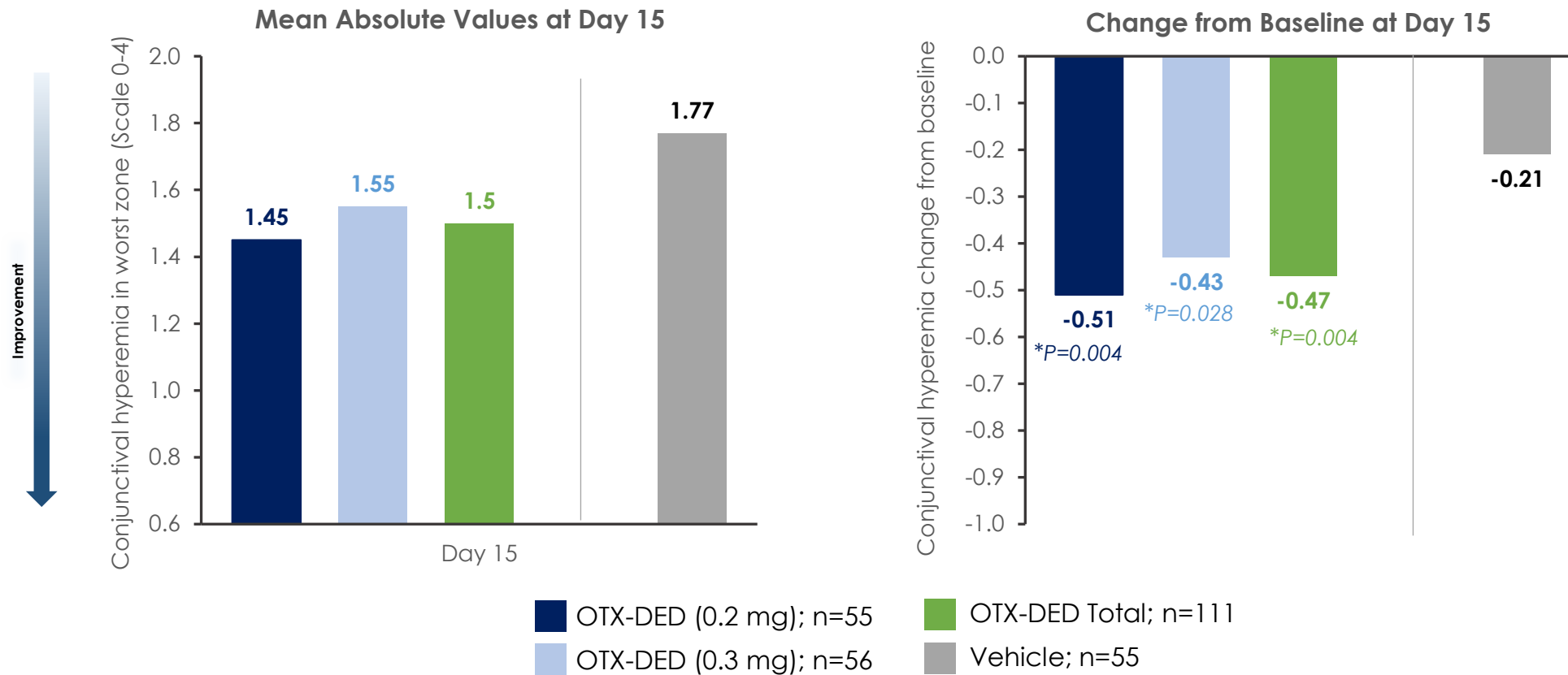
	OTX-DED (0.2 mg)	OTX-DED (0.3 mg)	OTX-DED Total	Vehicle Hydrogel	TOTAL
<b>Modified Intent to Treat (mITT)</b>	<b>55</b>	<b>56</b>	<b>111</b>	<b>55</b>	<b>166</b>
<b>Age, mean</b>	63.7	65.4	64.6	63.8	64.3
<b>Female, %</b>	74.5	69.6	72.1	74.5	72.9
<b>Race, %</b>					
Caucasian	70.9	67.9	69.4	74.5	71.1
African American	20.0	25.0	22.5	14.5	19.9
Asian	9.1	7.1	8.1	10.9	9.0

<b>BASELINE CHARACTERISTICS (STUDY EYE)</b>					
<b>Mean Conjunctival Hyperemia</b>					
Worst Zone (Scale 0-4)	1.95	1.98	1.96	2.02	1.98
Nasal (Scale 0-4)	1.80	1.88	1.84	1.93	1.87
Temporal (Scale 0-4)	1.67	1.84	1.76	1.89	1.8
Frontal (Scale 0-4)	1.58	1.79	1.68	1.76	1.71
Total (Scale 0-12)	5.05	5.50	5.28	5.58	5.38
<b>Mean Eye Dryness Severity Score (0-100 scale)</b>	72.8	70.0	71.4	72.4	71.7
<b>Mean Eye Dryness Frequency Score (0-100 scale)</b>	73.3	74.5	73.9	74.5	74.1

# Primary Efficacy Endpoint

## Conjunctival Hyperemia, Worst Zone at Day 15

Statistically significant improvement in primary endpoint (conjunctival hyperemia in the worst zone) for OTX-DED relative to vehicle hydrogel for 0.2 and 0.3 mg groups

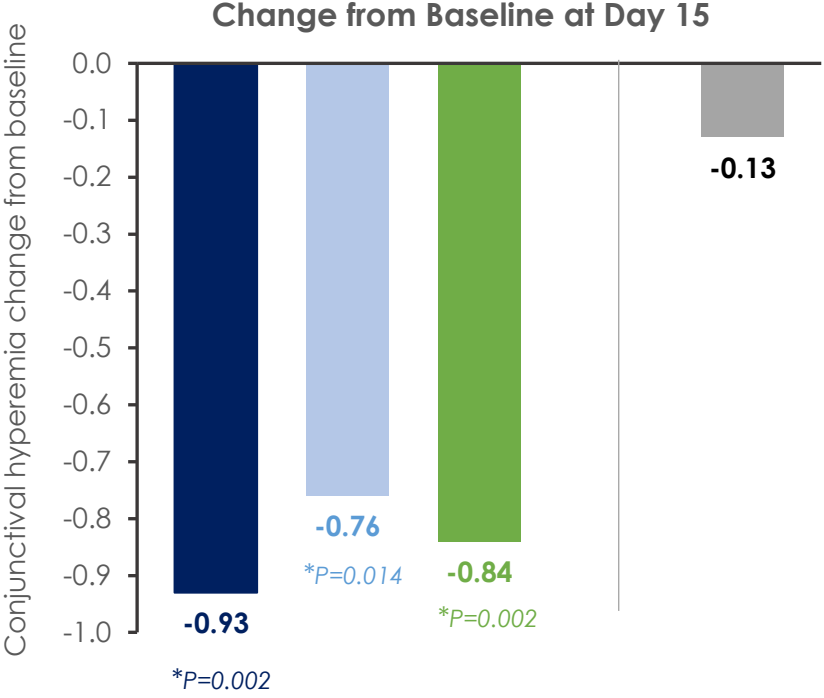
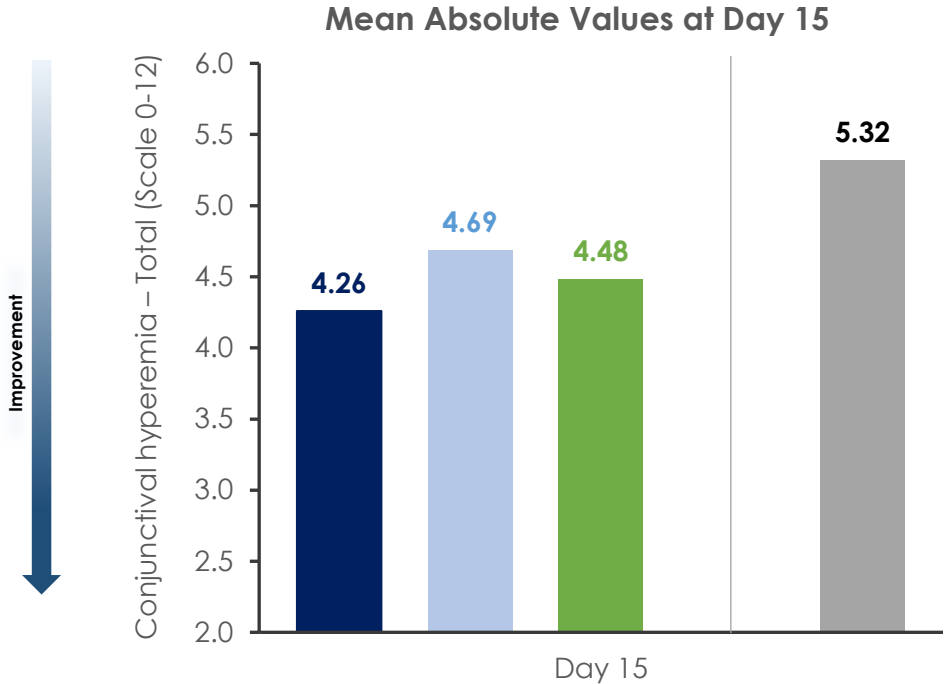


Sensitivity analysis (MCMC, LOCF, FCS) shows similar results as expected due to minimal data missing (only about 3%)  
Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline  
\*Statistically significant compared to vehicle - P<0.05, Trial not powered to show statistical significance;  
MCMC: Markov chain Monte Carlo method; LOCF: Last observation carried forward; FCS: Fully Conditional Specification method



# Secondary Efficacy Endpoint

## Conjunctival Hyperemia, Total at Day 15

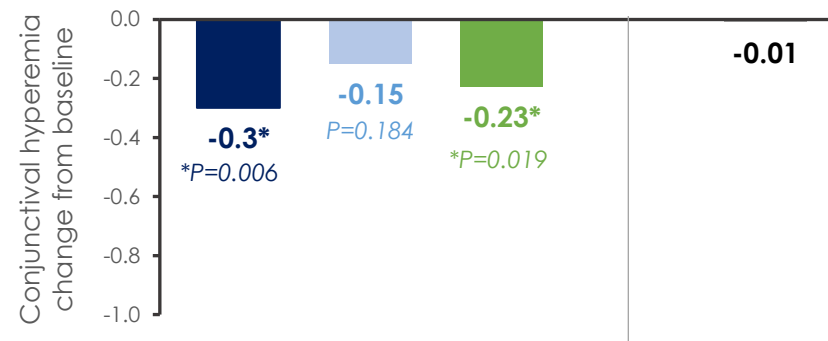
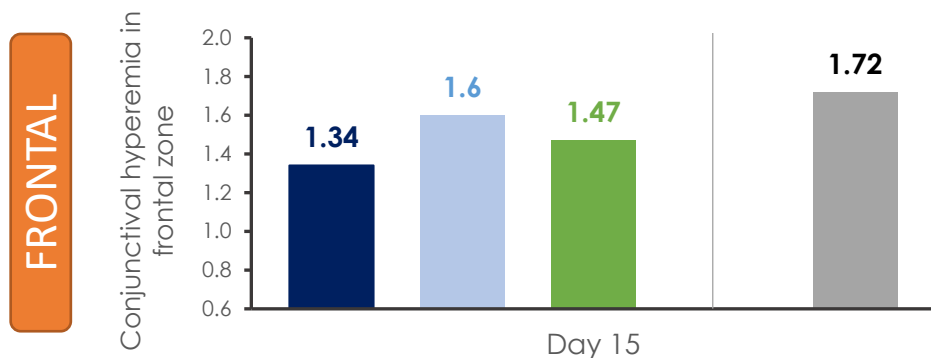
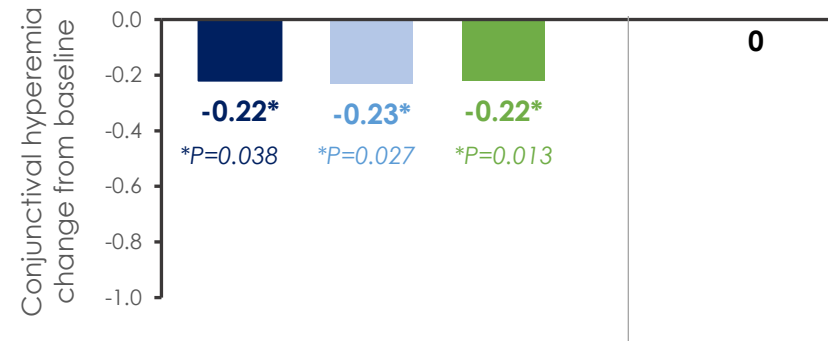
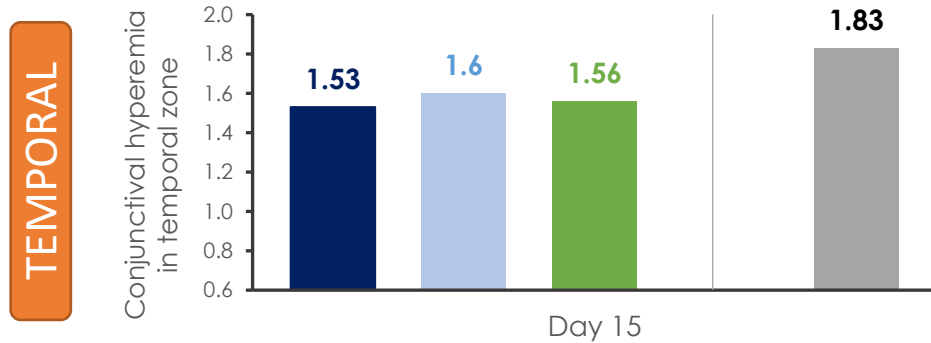
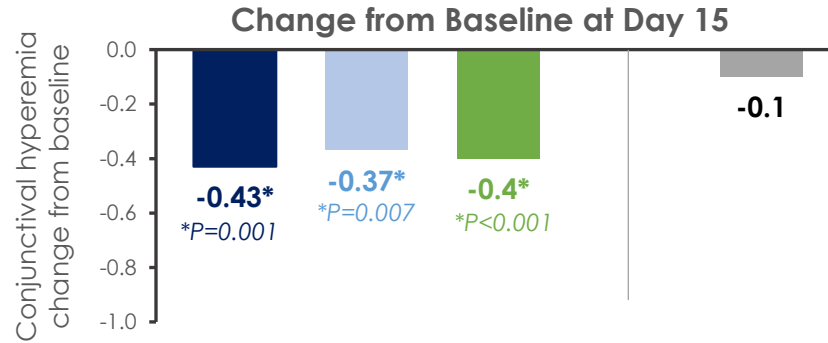
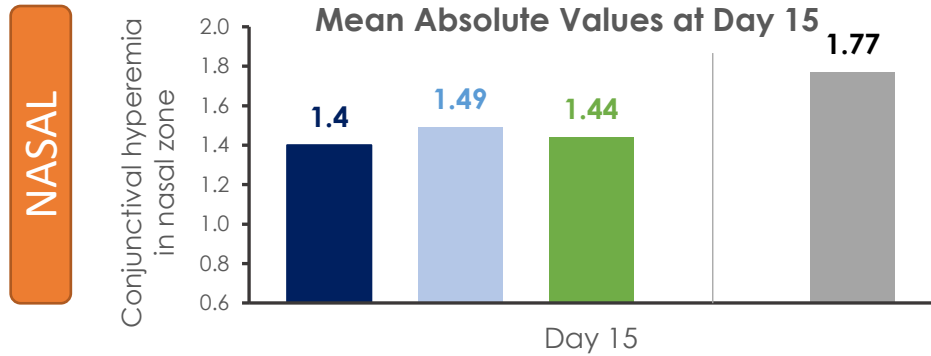


OTX-DED (0.2 mg); n=55      OTX-DED Total; n=111  
OTX-DED (0.3 mg); n=56      Vehicle; n=55

Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline  
\*Statistically significant compared to vehicle - P<0.05, Trial not powered to show statistical significance

# Secondary Efficacy Endpoint

## Conjunctival Hyperemia Nasal, Temporal & Frontal at Day 15



OTX-DED (0.2 mg); n=55

OTX-DED (0.3 mg); n=56

OTX-DED Total; n=111

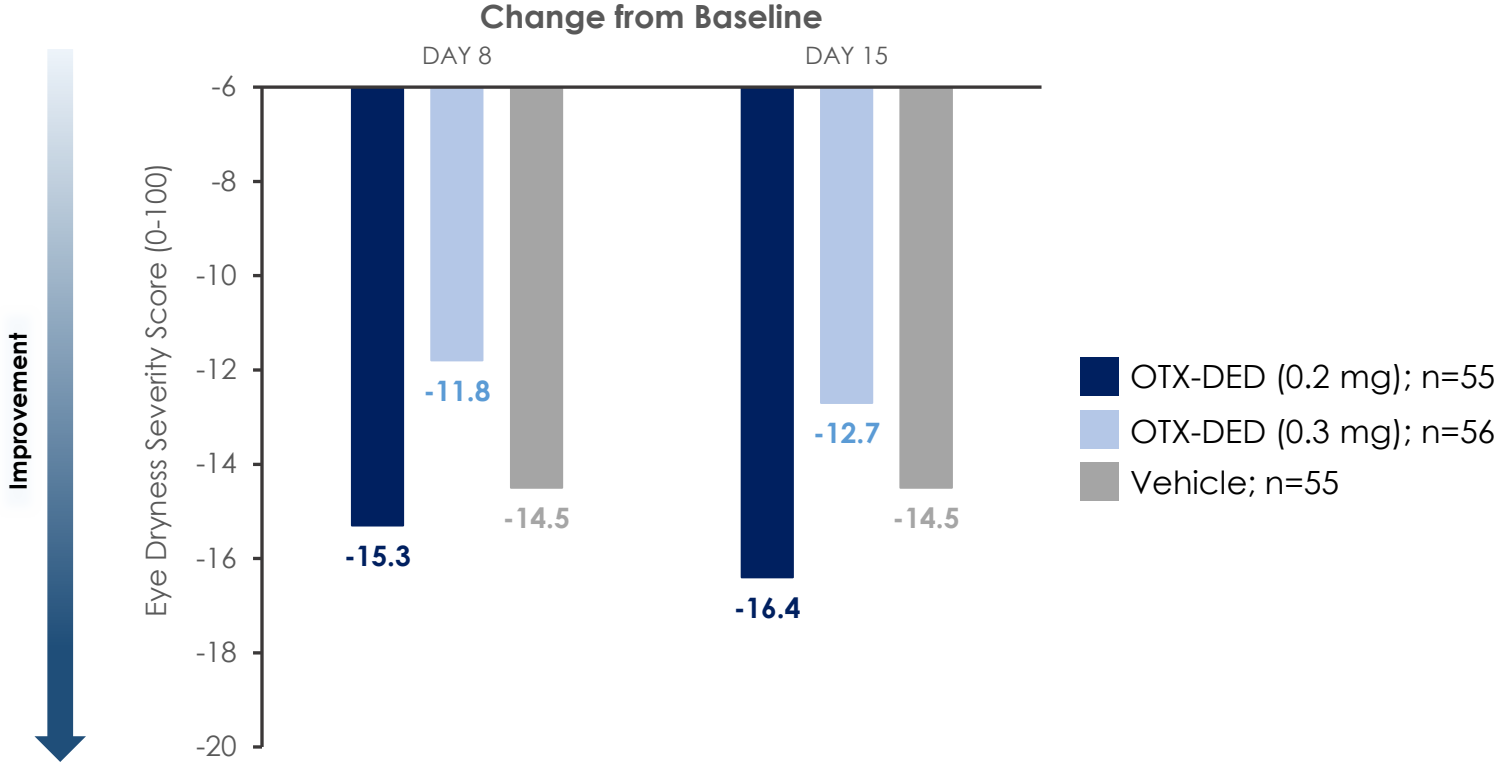
Vehicle; n=55

Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline  
 \*Statistically significant compared to vehicle - P<0.05, Trial not powered to show statistical significance;  
 Nasal, Temporal and Frontal: Scale 0-4

# Secondary Efficacy Endpoint

## Symptom: Eye Dryness Score (VAS) Severity

Eye dryness severity scores improved from baseline in 0.2 mg & 0.3 mg groups with little separation between active groups and vehicle



BASELINE SCORES	OTX-DED (0.2 mg) n=55	OTX-DED (0.3 mg) n=56	Vehicle Hydrogel n=55
Mean Eye Dryness Severity Score (0-100 scale)	72.8	70.0	72.4

Modified Intent to Treat Population with Observed Data (N=166); Least Squares Means (LS Means) for change from baseline

# Treatment-Emergent Adverse Events

- Most common adverse events in OTX-DED treated groups were epiphora/increased lacrimation (8.1%) and IOP elevation (3.6%)
- No ocular serious adverse events or dacryocanaliculitis events were reported

	OTX-DED (0.2 mg) n=55	OTX-DED (0.3 mg) n=56	OTX-DED Total n=111	Vehicle Hydrogel n=55	All Subjects N=166
<b>Subjects with at least 1 TEAE, n (%)</b>	12 (21.8%)	13 (23.2%)	25 (22.5%)	11 (20.0%)	36 (21.7%)
<b>Subjects with at least 1 Ocular TEAE, n (%)</b>	7 (12.7%)	12 (21.4%)	19 (17.1%)	7 (12.7%)	26 (15.7%)
<b>Subjects with at least 1 non-ocular TEAE, n (%)</b>	5 (9.1%)	2 (3.6%)	7 (6.3%)	4 (7.3%)	11 (6.6%)
<b>Serious Adverse Events (SAEs), n</b>	0	0	0	2	2†
<b>Ocular SAEs, n</b>	0	0	0	0	0
<b>Most Common Ocular AEs</b>					
Eye Pruritus, n (%)	1 (1.8%)	0	1 (0.9%)	2 (3.6%)	3 (1.8%)
Lacrimation Increase, n (%)	2 (3.6%)	7 (12.5%)	9 (8.1%)	2 (3.6%)	11 (6.6%)
IOP Elevation, n (%)	2 (3.6%)	2 (3.6%)	4 (3.6%)	0	4 (2.4%)
<b>Most Common Non-ocular AEs</b>					
COVID-19, n (%)	1 (1.8%)	0	1 (0.9%)	0	1 (0.6%)
Arthralgia, n (%)	1 (1.8%)	1 (1.8%)	2 (1.8%)	0	2 (1.2%)

†Serious Adverse Events were Cellulitis and COVID Pneumonia both in the vehicle group  
Severe Adverse Events were Epiphora in 0.2 mg OTX-DED group & Cellulitis and COVID Pneumonia in the vehicle group

# Conclusions

## Phase 2 Study Evaluating Safety and Efficacy of OTX-DED in Dry Eye Subjects

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- Statistically significant improvement in the primary endpoint (bulbar conjunctival hyperemia in the worst zone on Day 15) for OTX-DED relative to vehicle hydrogel for 0.2 and 0.3 mg groups
  - Trial was not powered for statistical significance
  - Sensitivity analysis showed similar results
- Conjunctival hyperemia grade in the total, nasal, temporal, and frontal zones improved with OTX-DED relative to vehicle hydrogel on Day 15
  - All statistically significant except for frontal zone OTX-DED 0.3 mg group
- Eye dryness score (symptom endpoint) improved from baseline in all three groups, with no separation between active groups and vehicle
  - Post-hoc analysis shows potential opportunities to differentiate between OTX-DED and vehicle hydrogel groups
- Most common adverse events in OTX-DED treated groups (0.2 & 0.3 mg) were epiphora/lacrimation increase (8.1%), and IOP elevation (3.6%)
  - No ocular serious adverse events were reported
  - Low rates of ocular pain/discomfort/irritation